

Docket No.  
ARC 2604R1

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Osmotic Delivery System, Osmotic Delivery System Semipermeable Body Assembly, and Method for Controlling Delivery Rate of Beneficial Agents from Osmotic Delivery Systems**

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

60/053,689

(Application Serial No.)

7/25/97

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)  
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)  
(patented, pending, abandoned)

(Application Serial No.)

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(Status)  
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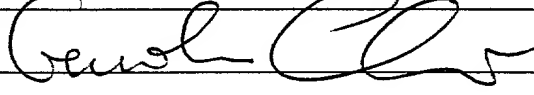
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

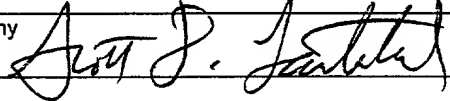
**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Michael J. Rafa	Registration No. 38, 740
Paul L. Sabatine	Registration No. 22, 539
D. Byron Miller	Registration No. 30, 661
Steve F. Stone	Registration No. 20, 246
Pauline Ann Clarke	Registration No. 29,783

Send Correspondence to: **ALZA Corporation**  
**Intellectual Property Dept.**  
**950 Page Mill Road**  
**Palo Alto, CA 94303-0802**

Direct Telephone Calls to: *(name and telephone number)*  
**Pauline Ann Clarke (650) 494-5560**

Full name of sole or first inventor <b>Guohua Chen</b>		<b>6/25/98</b>
Sole or first inventor's signature		Date
Residence <b>Sunnyvale, CA USA</b>		
Citizenship <b>Chinese</b>		
Post Office Address <b>399 Sunset Avenue</b>		
<b>Sunnyvale, California 94086</b>		

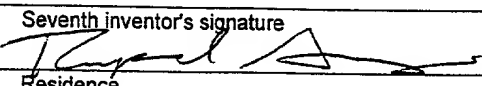
Full name of second inventor, if any <b>Scott D. Lautenbach</b>		<b>6/25/98</b>
Second inventor's signature		Date
Residence <b>San Mateo, CA USA</b>		
Citizenship <b>USA</b>		
Post Office Address <b>466 23rd Street</b>		
<b>San Mateo CA 94403</b>		

Full name of third inventor, if any <b>Keith E. Dionne</b>	
Third inventor's signature	Date
Residence <b>Cambridge, MA USA</b>	
Citizenship	
Post Office Address <b>4 Hancock Park</b>	
<b>Cambridge MA 02139</b>	

Full name of fourth inventor, if any <b>Scott D. Jordan</b>	
Fourth inventor's signature <i>Scott Jordan</i>	Date <b>6/26/98</b>
Residence <b>Davis, CA USA</b>	
Citizenship <b>US</b>	
Post Office Address <b>522 J Street</b>	
<b>Davis CA 95616</b>	

Full name of fifth inventor, if any <b>Steve A. Berry</b>	
Fifth inventor's signature <i>Steve A. Berry</i>	Date <b>6/25/98</b>
Residence <b>Hollister, CA USA</b>	
Citizenship <b>US</b>	
Post Office Address <b>1050 Spring Grove Road</b>	
<b>Hollistr, CA 95023</b>	

Full name of sixth inventor, if any <b>Craig I. Rodenberger</b>	
Sixth inventor's signature <i>Craig Rodenberger</i>	Date <b>6/25/98</b>
Residence <b>San Jose, CA USA</b>	
Citizenship <b>U.S.</b>	
Post Office Address <b>2449 Shibley Avenue</b>	
<b>San Jose, CA 95125</b>	

Full name of seventh inventor, if any <b>Rupal Ayer</b>	
Seventh inventor's signature 	Date 6/25/98
Residence <b>Santa Clara, CA USA</b>	
Citizenship <b>US</b>	
Post Office Address <b>3131 Homestead Road, #14 M</b>	
<b>Santa Clara, CA 95051</b>	

Full name of eighth inventor, if	
Eighth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of ninth inventor, if any	
Ninth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of tenth inventor, if any	
Tenth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

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☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_

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Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

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**Pauline Ann Clarke (650) 494-5560**

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Sole or first inventor's signature	Date
Residence <b>Sunnyvale, CA USA</b>	
Citizenship	
Post Office Address <b>399 Sunset Avenue</b>	
<b>Sunnyvale, California 94086</b>	

Full name of second inventor, if any <b>Scott D. Lautenbach</b>	
Second inventor's signature	Date
Residence <b>San Mateo, CA USA</b>	
Citizenship	
Post Office Address <b>466 23rd Street</b>	
<b>San Mateo CA 94403</b>	

Full name of third inventor, if any <b>Keith E. Dionne</b>
Third inventor's signature <i>Keith E Dionne</i>
Date <i>7/10/98</i>
Residence <b>Cambridge, MA USA</b>
Citizenship
Post Office Address <b>4 Hancock Park</b>
<b>Cambridge MA 02139</b>

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Fourth inventor's signature
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Residence <b>Davis, CA USA</b>
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Full name of fifth inventor, if any <b>Steve A. Berry</b>
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Post Office Address <b>1050 Spring Grove Road</b>
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Citizenship
Post Office Address <b>2449 Shibley Avenue</b>
<b>San Jose, CA 95125</b>

Full name of seventh inventor, if any <b>Rupal Ayer</b>	
Seventh inventor's signature	Date
Residence <b>Santa Clara, CA USA</b>	
Citizenship	
Post Office Address <b>3131 Homestead Road, #14 M</b>	
<b>Santa Clara, CA 95051</b>	

Full name of eighth inventor, if	
Eighth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of ninth inventor, if any	
Ninth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of tenth inventor, if any	
Tenth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

**ASSIGNMENT  
(JOINT)**

WHEREAS, we, Guohua Chen, Keith E. Dionne, Scott D. Lautenbach, Scott Jordan, Steve A. Berry, Craig I. Rodenberger and Rupal Ayer have made certain new and useful inventions in Osmotic Delivery System, Osmotic Delivery System Semipermeable Body Assembly, and Method for Controlling Delivery Rate of Beneficial gents from Osmotic Delivery System, set forth in an application for Letters Patent of the United States, ☐ which is a provisional application filed herewith; ☒ which is a non-provisional application filed herewith; ☐ bearing Application No. \_\_\_\_\_, and filed on \_\_\_\_\_; and

WE HEREBY AUTHORIZE AND REQUEST our attorney, Pauline Ann Clarke, of ALZA Corporation, or the Director of Intellectual Property, Steve F. Stone, ALZA Corporation, in her absence.

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"); a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

IN WITNESS WHEREOF, We have each hereunto set our hands.

Guohua Chen this 25 day of June 1998; and  
Guohua Chen

\_\_\_\_\_  
Keith E. Dionne this \_\_\_\_\_ day of \_\_\_\_\_ 1998; and  
Scott D. Lautenbach this 25 day of JUNE 1998, and  
Scott D. Lautenbach

Scott Jordan this 26 day of June 1998; and  
Scott Jordan

Steve A Berry this 25 day of June 1998; and  
Steve A. Berry

Craig Rodenberger this 25 day of June 1998; and  
Craig I. Rodenberger

Rupal Ayer this 25 day of June 1998; and  
Rupal Ayer

\_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 1998;

respectively.

2025 JUN 26 10 30 AM

# ASSIGNMENT (JOINT)

WHEREAS, we, Guohua Chen, Keith E. Dionne, Scott D. Lautenbach, Scott Jordan, Steve A. Berry, Craig I. Rodenberger and Rupal Ayer have made certain new and useful inventions in Osmotic Delivery System, Osmotic Delivery System Semipermeable Body Assembly, and Method for Controlling Delivery Rate of Beneficial agents from Osmotic Delivery System, set forth in an application for Letters Patent of the United States, ☐ which is a provisional application filed herewith; ☒ which is a non-provisional application filed herewith; ☐ bearing Application No. \_\_\_\_\_, and filed on \_\_\_\_\_; and

WE HEREBY AUTHORIZE AND REQUEST our attorney, Pauline Ann Clarke, of ALZA Corporation, or the Director of Intellectual Property, Steve F. Stone, ALZA Corporation, in her absence.

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

IN WITNESS WHEREOF, We have each hereunto set our hands.

\_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 1998; and

Guohua Chen

Keith E. Dionne this 10 day of July 1998; and

Keith E. Dionne

\_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 1998, and

Scott D. Lautenbach

\_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 1998;

[illegible]